

## **Order Form**

D	
Date:	

Please buy sell the securities described below at the risk of the undersigned, at price(s) indicated subject to your usual charges. This order is to be held on board the Stock Exchange until \_\_\_\_\_\_. After this date the order should be cancelled unless new instructions are provided to renew the period.

Number of Shares	Description of Security	Price	

### For sellers only:

- a) Reason for selling shares:\_\_\_\_\_
- b) I understand that the buyer is not known at this time, and agree to lodge herewith signed blank transfer form(s) to facilitate the transfer(s) when the shares are traded.c) Preceded of Sale should be dealt with as follows:
- c) Proceeds of Sale should be dealt with as follows:-
  - (1) Credit Account No.
  - (2) Remit proceed via cheque to: \_\_\_\_\_

#### For buyers only:

## **Beneficial Owner**

A beneficial owner refers to the natural person(s) who <u>ultimately owns or controls</u> a customer and/or the natural person on whose behalf a transaction is being conducted. It also includes those persons who exercise <u>ultimate effective control</u> over a legal person or arrangement.<sup>1</sup>

- a) Is this purchase for your own benefit? Yes No
- b) If no to a), *a Beneficial Ownership form is required*.
- c) Upon expiration of order, remit proceed net of cancellation fee via cheque to

Place initial here

<sup>1</sup> General Glossary - Financial Action Task Force (FATF) Recommendation 2012

Customer's Name: _	 	 	
Address:	 	 	
Telephone Number:	 	 	
Email Address:			

# **Customer's Signature**

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Affix Stamp/Seal here

Witness to Signature:

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